

## CS 276: Homework 2

Due Date: Sept. 13th, 2024 at 8:59pm via Gradescope

This problem is based on [CK16].

### 1 One-Way Functions

The security of a PRF is only guaranteed if the key is kept secret. However, [GGM86]’s PRF construction still retains some form of security (namely weak one-wayness) even if the key is leaked.

**Definition 1.1 ([GGM86] Function Ensemble)** Let  $G : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$  be a PRG, where  $G_0(s)$  outputs the first  $n$  bits of  $G(s)$  and  $G_1(s)$  outputs the last  $n$  bits of  $G(s)$ .

For any seed  $s \in \{0, 1\}^n$ , and any input  $x = (x_1, \dots, x_n) \in \{0, 1\}^n$ , let the function  $f_s^G : \{0, 1\}^n \rightarrow \{0, 1\}^n$  be defined as follows:

$$f_s^G(x_1, \dots, x_n) = G_{x_n} \left( \dots G_{x_2}(G_{x_1}(s)) \dots \right)$$

We sometimes write  $f_s^G$  as  $f_s$ .

Finally let us define the function ensemble  $\mathcal{F}_G = \{f_s^G\}_{s \in \{0, 1\}^n}$ .

**Definition 1.2 (One-Way Function Ensemble)** Let  $\mathcal{F} = \{f_s\}_{s \in \{0, 1\}^n}$  be a function ensemble where for every  $s \in \{0, 1\}^n$ ,  $f_s$  maps  $\{0, 1\}^n \rightarrow \{0, 1\}^n$  and is efficiently computable.

$\mathcal{F}$  is **one-way** if for any efficient adversary  $\mathcal{A}$ ,

$$\Pr_{\substack{s \leftarrow \{0, 1\}^n \\ x \leftarrow \{0, 1\}^n}} [\mathcal{A}(s, f_s(x)) \in f_s^{-1}(f_s(x))] \leq \text{negl}(n)$$

**Question:** Prove that  $\mathcal{F}_G$  is one-way, assuming conjecture 1.3 below.

#### Conjecture 1.3

$$\mathbb{E}_{s \leftarrow \{0, 1\}^n} \left[ \frac{|\text{Im}(f_s)|}{2^n} \right] \geq 1 - \text{negl}(n)$$

Note: We do not know if this conjecture is true, but it is still possible to prove that  $\mathcal{F}_G$  is *weakly* one-way without the conjecture.

If you’re unsure how to get started, try assuming that  $f_s$  is one-to-one. This is a useful setting in which to build intuition.

**Solution**

1. Given any adversary  $\mathcal{A}_{OWF}$  that attempts to invert  $f$ , we will construct an adversary  $\mathcal{A}_{PRG}$  that attempts to distinguish the output of  $G$  from a uniformly random string.

Construction of  $\mathcal{A}_{PRG}$ 

- (a) Receive a string  $y = (y^0, y^1) \in \{0, 1\}^n \times \{0, 1\}^n$  that is either  $y = G(w)$ , for  $w \xleftarrow{\$} \{0, 1\}^n$ , or  $y \xleftarrow{\$} \{0, 1\}^{2n}$ .
  - (b) Sample  $s \xleftarrow{\$} \{0, 1\}^n$  and  $b \xleftarrow{\$} \{0, 1\}$ .
  - (c) Compute  $x = \mathcal{A}_{OWF}(s, y^b)$ . Compute  $\tilde{x} = x \oplus 0^{n-1}||1$ . In other words,  $\tilde{x}$  is the same as  $x$  except the last bit is flipped.
  - (d) Check whether  $f_s(x) = y^b$  and  $f_s(\tilde{x}) = y^{1-b}$ . If both checks pass, then output 1 (guess “pseudorandom”). Otherwise, output 0 (guess “truly random”).
2. Let us define some hybrids:
    - $\mathcal{H}_0(n)$ :
      - (a) Sample  $y = (y^0, y^1) \xleftarrow{\$} \{0, 1\}^n \times \{0, 1\}^n$ ,  $s \xleftarrow{\$} \{0, 1\}^n$ ,  $b \xleftarrow{\$} \{0, 1\}$ .
      - (b) Compute  $x = \mathcal{A}_{OWF}(s, y^b)$  and  $\tilde{x} = x \oplus 0^{n-1}||1$ .
      - (c) Check whether  $f_s(x) = y^b$  and  $f_s(\tilde{x}) = y^{1-b}$ . If so, then output 1. If not, then output 0.
    - $\mathcal{H}_1(n)$ :
      - (a) Sample  $w \xleftarrow{\$} \{0, 1\}^n$ ,  $s \xleftarrow{\$} \{0, 1\}^n$ ,  $b \xleftarrow{\$} \{0, 1\}$ . Compute  $y = (y^0, y^1) = G(w)$ .
      - (b) Compute  $x = \mathcal{A}_{OWF}(s, y^b)$  and  $\tilde{x} = x \oplus 0^{n-1}||1$ .
      - (c) Check whether  $f_s(x) = y^b$  and  $f_s(\tilde{x}) = y^{1-b}$ . If so, then output 1. If not, then output 0.
    - $\mathcal{H}_2(n)$ :
      - (a) Sample  $x \xleftarrow{\$} \{0, 1\}^n$ ,  $s \xleftarrow{\$} \{0, 1\}^n$ . Compute  $b = x_n$  and  $y^b = f_s(x)$ .
      - (b) Compute  $x' = \mathcal{A}_{OWF}(s, y^b)$ .
      - (c) Check whether  $f_s(x') = y^b$ . If so, then output 1. If not, then output 0.

3. **Claim 1.4**  $\Pr[\mathcal{H}_0(n) \rightarrow 1] = \text{negl}(n)$ .

**Proof.**  $\mathcal{H}_0(n) \rightarrow 1$  only if  $f_s(x) = y^b$  and  $f_s(\tilde{x}) = y^{1-b}$ . However, this is only possible if  $(y^0, y^1)$  or  $(y^1, y^0)$  is in  $\text{Im}(G)$ .

Let  $w = G_{x_{n-1}}(\dots G_{x_2}(G_{x_1}(s)) \dots)$ . Then  $f_s(x) = G_{x_n}(w)$  and  $f_s(\tilde{x}) = G_{1-x_n}(w)$ . If  $f_s(x) = y^b$  and  $f_s(\tilde{x}) = y^{1-b}$ , then  $(y^0, y^1)$  or  $(y^1, y^0)$  is in  $\text{Im}(G)$ .

Since  $y \xleftarrow{\$} \{0, 1\}^{2n}$ , this occurs with negligible probability.

$$\begin{aligned}
\Pr[\mathcal{H}_0(n) \rightarrow 1] &\leq \Pr_{y^0, y^1} [(y^0, y^1) \in \text{Im}(G) \vee (y^1, y^0) \in \text{Im}(G)] \\
&\leq \Pr_{y^0, y^1} [(y^0, y^1) \in \text{Im}(G)] + \Pr_{y^0, y^1} [(y^1, y^0) \in \text{Im}(G)] \\
&= 2 \cdot \frac{|\text{Im}(G)|}{2^{2n}} \\
&\leq 2 \cdot \frac{2^n}{2^{2n}} = 2^{-n+1} \\
&= \text{negl}(n)
\end{aligned}$$

■

4.  $\Pr[\mathcal{H}_1(n) \rightarrow 1] = \Pr[\mathcal{H}_0(n) \rightarrow 1] \pm \text{negl}(n)$  by the PRG security of  $G$ . Therefore

$$\Pr[\mathcal{H}_1(n) \rightarrow 1] = \text{negl}(n)$$

5. **Definitions:** Let  $f_s^{(n-1)}$  take an input  $x_{[n-1]} \in \{0, 1\}^{n-1}$  and output

$$w = G_{x_{n-1}} \left( \dots G_{x_2} (G_{x_1}(s)) \dots \right)$$

In other words  $f_s^{(n-1)}$  applies the first  $n-1$  stages of  $f_s$ . For a given  $x$ , let  $w = f_s^{(n-1)}(x_{[n-1]})$  and  $b = x_n$ . Then  $f_s(x) = G_b(w)$ .

Next, let  $S$  be the set of  $(w, b)$ -pairs in  $\{0, 1\}^n \times \{0, 1\}$  for which  $|f_s^{-1}(G_b(w))| = 1$  and  $w \in \text{Im}(f_s^{(n-1)})$ .

6. **Claim 1.5** For any  $(w, b) \in S$ , the unique pre-image  $x \in f_s^{-1}(G_b(w))$  also satisfies  $w = f_s^{(n-1)}(x_{[n-1]})$ .

**Proof.** We know that there exists an  $x'_{[n-1]}$  such that  $w = f_s^{(n-1)}(x'_{[n-1]})$ . If  $x_{[n-1]} \neq x'_{[n-1]}$ , then  $f_s(x_{[n-1]}||b) = f_s(x'_{[n-1]}||b) = G_b(w)$ , but  $(x_{[n-1]}||b) \neq (x'_{[n-1]}||b)$ . This would imply that  $|f_s^{-1}(G_b(w))| \geq 2$ , which is not true. ■

7. **Claim 1.6** In  $\mathcal{H}_1(n)$ , if  $(w, b) \in S$ , then  $f_s(x) = y^b$  automatically implies that  $f_s(\tilde{x}) = y^{1-b}$ .

**Proof.**  $y^b$  has only one pre-image  $x$ , and if  $f_s(x) = y^b$ , then  $\mathcal{A}_{OWF}$  has found this  $x$ -value. Furthermore this  $x$ -value satisfies:  $w = f_s^{(n-1)}(x_{[n-1]})$ . So  $f_s(\tilde{x}) = G_{1-x_n}(w) = y^{1-b}$ . ■

This implies that in  $\mathcal{H}_1(n)$ ,

$$\Pr[f_s(x) = y^b | (w, b) \in S] = \Pr[f_s(x) = y^b \wedge f_s(\tilde{x}) = y^{1-b} | (w, b) \in S]$$

where  $x \leftarrow \mathcal{A}_{OWF}(s, G_b(w))$ .

8. **Claim 1.7** In  $\mathcal{H}_1$ ,  $\Pr_{w,s,b}[(w,b) \in S] = \frac{1}{2} - \text{negl}(n)$ .

**Proof.** There is a one-to-one mapping between  $(w,b)$ -values in  $S$  and  $x$ -values for which  $|f_s^{-1}(f_s(x))| = 1$  (lemma 1.11). Furthermore,  $\Pr_{s,x}[|f_s^{-1}(f_s(x))| = 1] = 1 - \text{negl}(n)$  (lemma 1.10). Then

$$\begin{aligned} \Pr_{w,s,b}[(w,b) \in S] &= \frac{\mathbb{E}_s[|S|]}{2^{n+1}} \\ &= \frac{1}{2} \cdot \frac{\mathbb{E}_s[|\{x \in \{0,1\}^n : |f_s^{-1}(f_s(x))| = 1\}|]}{2^n} \\ &= \frac{1}{2} \cdot \Pr_{s,x}[|f_s^{-1}(f_s(x))| = 1] \\ &= \frac{1}{2} - \text{negl}'(n) \end{aligned}$$

■

9. **Claim 1.8** In  $\mathcal{H}_1$ ,  $\Pr[\mathcal{A}_{OWF}(s, y^b) \in f_s^{-1}(y^b) | (w,b) \in S] = \text{negl}(n)$ .

**Proof.**

$$\begin{aligned} \Pr[\mathcal{H}_1(n) \rightarrow 1] &\geq \Pr[\mathcal{H}_1(n) \rightarrow 1 \wedge (w,b) \in S] \\ &= \Pr[(w,b) \in S] \cdot \Pr[\mathcal{H}_1(n) \rightarrow 1 | (w,b) \in S] \\ &\geq \frac{1}{2} \cdot \Pr[\mathcal{H}_1(n) \rightarrow 1 | (w,b) \in S] \pm \text{negl}(n) \\ &= \frac{1}{2} \cdot \Pr[f_s(x) = y^b \wedge f_s(\tilde{x}) = y^{1-b} | (w,b) \in S] \pm \text{negl}(n) \\ &\geq \frac{1}{2} \cdot \Pr[f_s(x) = y^b | (w,b) \in S] \pm \text{negl}(n) \\ &= \frac{1}{2} \cdot \Pr[\mathcal{A}_{OWF}(s, y^b) \in f_s^{-1}(y^b) | (w,b) \in S] \pm \text{negl}(n) \end{aligned}$$

$$\begin{aligned} 2 \cdot \Pr[\mathcal{H}_1(n) \rightarrow 1] \pm \text{negl}'(n) &\geq \Pr[\mathcal{A}_{OWF}(s, y^b) \in f_s^{-1}(y^b) | (w,b) \in S] \\ \text{negl}''(n) &\geq \Pr[\mathcal{A}_{OWF}(s, y^b) \in f_s^{-1}(y^b) | (w,b) \in S] \end{aligned}$$

In the last line, we used the fact that  $\Pr[\mathcal{H}_1(n) \rightarrow 1]$  is negligible. ■

10. **Claim 1.9** In  $\mathcal{H}_2$ , let  $w = f_s^{(n-1)}(x_{[n-1]})$  and  $b = x_n$ . Then the distribution of  $(w,b)$  is statistically close to uniformly random over  $S$ .

**Proof.** Let us condition on  $|f_s^{-1}(f_s(x))| = 1$ . This occurs with overwhelming probability over  $(s,x)$  (lemma 1.10), so conditioning on this event changes the distribution of  $(w,b)$  by a negligible statistical distance.

Now,  $x$  is uniformly random over  $\{x : |f_s^{-1}(f_s(x))| = 1\}$ . Each  $x$ -value maps to a unique  $(w,b) \in S$ , and every value in  $S$  is mapped to (lemma 1.11). Then  $(w,b)$  is uniformly random over  $S$ . ■

11. This implies that

$$\begin{aligned}
\Pr[\mathcal{H}_2(n) \rightarrow 1] &= \Pr_{(w,b) \xleftarrow{\$} S} [\mathcal{A}_{OWF}(s, y^b) \in f_s^{-1}(y^b)] \pm \text{negl}(n) \\
&= \Pr_{(w,b) \xleftarrow{\$} \{0,1\}^n \times \{0,1\}} [\mathcal{A}_{OWF}(s, y^b) \in f_s^{-1}(y^b) | (w, b) \xleftarrow{\$} S] \pm \text{negl}(n) \\
&= \text{negl}'(n)
\end{aligned}$$

The last line uses the fact that  $\Pr_{(w,b) \xleftarrow{\$} \{0,1\}^n \times \{0,1\}} [\mathcal{A}_{OWF}(s, y^b) \in f_s^{-1}(y^b) | (w, b) \xleftarrow{\$} S]$  is negligible.

12.  $\mathcal{H}_2(n)$  is the one-way function ensemble security game for  $\mathcal{F}$ . We've shown that for any PPT adversary  $\mathcal{A}_{OWF}$ , the probability that  $\mathcal{A}$  succeeds in the security game is negligible. Therefore,  $\mathcal{F}$  is a secure one-way function ensemble.

## 1.1 Lemmas

**Lemma 1.10** *With overwhelming probability over  $s \xleftarrow{\$} \{0,1\}^n$  and  $x \xleftarrow{\$} \{0,1\}^n$ ,  $|f_s^{-1}(f_s(x))| = 1$ .*

**Proof.** Let  $\text{thin}_s = \{y \in \{0,1\}^n : |f_s^{-1}(y)| = 1\}$ , and let  $\text{fat}_s = \{y \in \{0,1\}^n : |f_s^{-1}(y)| \geq 2\}$ . Then  $|\text{thin}_s| + |\text{fat}_s| = |\text{Im}(f_s)|$ . Also,

$$\Pr_{s,x} [|f_s^{-1}(f_s(x))| = 1] = \mathbb{E}_{s \xleftarrow{\$} \{0,1\}^n} \left[ \frac{|\text{thin}_s|}{2^n} \right]$$

Next,

$$\begin{aligned}
2^n &= \sum_{y \in \text{Im}(f_s)} |f_s^{-1}(y)| \\
&= \sum_{y \in \text{thin}_s} 1 + \sum_{y \in \text{fat}_s} |f_s^{-1}(y)| \\
&\geq \sum_{y \in \text{thin}_s} 1 + \sum_{y \in \text{fat}_s} 2 \\
&= |\text{thin}_s| + 2 \cdot |\text{fat}_s| \\
&= |\text{thin}_s| + 2 \cdot (|\text{Im}(f_s)| - |\text{thin}_s|) \\
&= 2 \cdot |\text{Im}(f_s)| - |\text{thin}_s|
\end{aligned}$$

$$|\text{Im}(f_s)| \leq \frac{1}{2} \cdot (2^n + |\text{thin}_s|)$$

By conjecture 1.3,

$$\begin{aligned}
1 - \text{negl}(n) &\leq \mathbb{E}_{s \leftarrow \{0,1\}^n} \left[ \frac{|\text{Img}(f_s)|}{2^n} \right] \\
&\leq \mathbb{E}_{s \leftarrow \{0,1\}^n} \left[ \frac{1}{2} \cdot (2^n + |\text{thin}_s|) \right] \\
&= \frac{1}{2} + \frac{1}{2} \cdot \mathbb{E}_{s \leftarrow \{0,1\}^n} \left[ \frac{|\text{thin}_s|}{2^n} \right] \\
1 - 2 \cdot \text{negl}(n) &\leq \mathbb{E}_{s \leftarrow \{0,1\}^n} \left[ \frac{|\text{thin}_s|}{2^n} \right] \\
&\leq \Pr_{s,x} [|f_s^{-1}(f_s(x))| = 1]
\end{aligned}$$

$1 - 2 \cdot \text{negl}(n)$  is overwhelming, and so is  $\Pr_{s,x} [|f_s^{-1}(f_s(x))| = 1]$ . ■

**Lemma 1.11** *Given  $x \in \{0,1\}^n$  for which  $|f_s^{-1}(f_s(x))| = 1$ , map*

$$x \rightarrow (w, b) = (f_s^{(n-1)}(x_{[n-1]}), x_n)$$

*This is a one-to-one mapping between  $(w, b)$ -values in  $S$  and  $x$ -values for which  $|f_s^{-1}(f_s(x))| = 1$ .*

**Proof.** If  $|f_s^{-1}(f_s(x))| = 1$ , then  $(w, b)$  is in  $S$ . This is because  $w \in \text{Img}(f_s^{(n-1)})$ , and  $|f_s^{-1}(G_b(w))| = |f_s^{-1}(f_s(x))| = 1$ .

Next, every  $x$  for which  $|f_s^{-1}(f_s(x))| = 1$  maps to a unique  $(w, b)$ -value. Otherwise, if there were two different  $x, x'$ -values that mapped to the same  $(w, b)$ , then  $f_s(x) = f_s(x')$ , so  $|f_s^{-1}(f_s(x))| \geq 2$ .

Finally, every  $(w, b) \in S$  is mapped to by an  $x$  for which  $|f_s^{-1}(f_s(x))| = 1$ . Since  $(w, b) \in S$ , there is a  $x_{[n-1]} \in \{0,1\}^{n-1}$  such that  $w = f_s^{(n-1)}(x_{[n-1]})$ . If we let  $x_n = b$ , then  $f_s(x) = G_b(w)$ , and  $|f_s^{-1}(f_s(x))| = |f_s^{-1}(G_b(w))| = 1$ . ■

## References

- [CK16] Aloni Cohen and Saleet Klein. The GGM function family is weakly one-way. Cryptology ePrint Archive, Paper 2016/610, 2016.
- [GGM86] Oded Goldreich, Shafi Goldwasser, and Silvio Micali. How to construct random functions. *J. ACM*, 33(4):792807, aug 1986.